

Note: Reported data do not include revisions made through the Prior Year Data Revision System for any institution prior to collection

Finance 2008-09

Institution: Santa Clara University (122931)

User ID: super1

Finance - Private not-for-profit institutions and Public institutions using FASB standards

FASB-Reporting Institutions

General Information - Fiscal Year and Audit

To the extent possible, the finance data requested in this report should be provided from your institution's audited General Purpose Financial Statements (GPFS). Please refer to the instructions specific to each screen of the survey for details and references.

1. Fiscal Year Calendar

This report covers financial activities for the 12-month fiscal year: (The fiscal year reported should be the most recent fiscal year ending before October 1, 2009.)

Beginning: month/year (MMYYYY) Month: Year:

And ending: month/year (MMYYYY) Month: Year:

2. Audit Opinion

Did your institution receive an unqualified opinion on its General Purpose Financial Statements from your auditor for the fiscal year noted above? (If your institution is audited only in combination with another entity, answer this question based on the audit of that entity.)

- Unqualified Qualified (Explain in box below) Don't know (Explain in box below)

3. Does this institution or any of its foundations or other affiliated organizations own endowment assets ?

- Yes (report endowment assets)

- No

4. Intercollegiate Athletics

If your institution participates in intercollegiate athletics, are the expenses accounted for as auxiliary enterprises or treated as student services?

- Auxiliary enterprises

- Student services

- Does not participate in intercollegiate athletics

- Other (specify in box below)

5. Does your institution account for Pell grants as pass through transactions (a simple payment on the student's account) or as federal grant revenues to the institution?

- Pass through (agency) Federal grants Does not award Pell grants

You may use the space below to provide context for the data you've reported above.

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Part A - Statement of Financial Position, Page 1

Fiscal Year 2009			
Line No.	Assets, Liabilities, and Net Assets	Current year amount	Prior year amount
Assets			
01	<u>Long-term investments</u>	631,417,000	827,639,000
19	Property, plant, and equipment, net of accumulated depreciation	518,687,000	500,709,000
20	Intangible assets, net of accumulated amortization	0	0
02	Total assets	1,262,325,000	1,392,310,000
Liabilities			
03	Total liabilities	286,877,000	292,712,000
03a	Debt related to Property, Plant, and Equipment	179,858,000	167,653,000
Net assets			
04	<u>Unrestricted net assets</u>	497,868,000	635,583,000
05	Total <u>restricted net assets</u>	477,580,000	464,015,000
05a	<u>Permanently restricted</u> net assets	228,732,000	231,417,000
05b	<u>Temporarily restricted net assets</u>	248,848,000	232,598,000
06	Total net assets	975,448,000	1,099,598,000

You may use the space below to provide context for the data you've reported above.

Part A - Statement of Financial Position, Page 2

Fiscal Year 2009

Line No.	Plant, Property and Equipment	Ending balance	Prior year Ending balance
11	<u>Land and land improvements</u>	33,999,000	29,228,000
12	<u>Buildings</u>	436,920,000	363,439,000
13	Equipment, including art and <u>library</u> collections	161,611,000	153,094,000
15	<u>Construction in Progress</u>	4,272,000	60,129,000
16	Other	69,121,000	62,742,000
17	Total Plant, Property, and Equipment CV=[(A11+...A16)]	705,923,000	668,632,000
18	<u>Accumulated depreciation</u>	187,236,000	167,923,000
19	Property, Plant, and Equipment, net of accumulated depreciation (from A19)	518,687,000	500,709,000

You may use the space below to provide context for the data you've reported above.

Part B - Summary of Changes in Net Assets

Fiscal Year 2009

Line No.	Revenues, Expenses, Gains and Losses	Current year amount	Prior year amount
01	Total revenues and investment return	127,793,000	254,660,000
02	Total expenses	251,943,000	233,935,000
03	Other specific changes in net assets CV=[B04-(B01-B02)]	0	0
04	Change in net assets	-124,150,000	20,725,000
05	Net assets, beginning of year	1,099,598,000	1,078,873,000
06	Adjustments to beginning of year net assets CV=[B07-(B04+B05)]	0	0
07	Net assets, end of year (from A06)	975,448,000	1,099,598,000

You may use the space below to provide context for the data you've reported above.

The change in net assets for this past fiscal year was a loss.

Part C - Scholarships and Fellowships

Fiscal Year 2009

Line No.	Scholarships and Fellowships	Current year amount	Prior year amount
01	<u>Pell grants (federal)</u>	1,852,000	1,592,000
02	<u>Other federal grants</u>	1,592,000	1,461,000
03	<u>State grants</u>	4,188,000	3,958,000
04	<u>Local grants (government)</u>	0	0
05	<u>Institutional grants (funded)</u>	10,543,000	9,036,000
06	<u>Institutional grants (unfunded)</u>	44,247,000	41,343,000
07	Total scholarships and fellowships CV=[C01+...+C06]	62,422,000	57,390,000
08	<u>Allowances (scholarships) applied to tuition and fees</u>	54,789,000	50,379,000
09	<u>Allowances (scholarships) applied to auxiliary enterprise revenues</u>	0	0

You may use the space below to provide context for the data you've reported above.

Part D - Revenues and Investment Return

Fiscal Year 2009						
Line No.	Source of Funds	Total Amount	Unrestricted	Temporarily restricted	Permanently restricted	Prior Year Total Amount
01	Tuition and fees (net of allowance reported in Part C, line 08)	195,653,000	195,653,000			187,271,000
Government Appropriations						
02	Federal appropriations	0	0	0	0	0
03	State appropriations	0	0	0	0	0
04	Local appropriations	0	0	0	0	0
Government Grants and Contracts						
05	Federal grants and contracts	6,374,000	6,374,000			5,377,000
06	State grants and contracts	142,000	142,000			209,000
07	Local government grants and contracts	84,000	84,000			134,000
Private Gifts, Grants and Contracts						
08	Private gifts, grants and contracts	35,022,000	3,787,000	24,268,000	6,967,000	41,924,000
08a	Private gifts	33,792,000	3,766,000	23,059,000	6,967,000	41,422,000
08b	Private grants and contracts	1,230,000	21,000	1,209,000	0	502,000
09	Contributions from affiliated entities	0	0	0	0	0
Other Revenue						
10	Investment return	-143,718,000	-51,432,000	-91,761,000	-525,000	-12,198,000
11	Sales and services of educational activities	0	0			0
12	Sales and services of auxiliary enterprises (net of allowance reported in Part C, line 09)	23,142,000	23,142,000			22,622,000
Hospital revenue						
13	If this institution is primarily a hospital, please click here	0	0			0
14	Independent operations revenue	0	0	0	0	0
15	Other revenue CV=[D16-(D01+...+D14)]	11,094,000	63,998,000	-43,777,000	-9,127,000	9,321,000
16	Total revenues and investment return (from B01)	127,793,000	241,748,000	-111,270,000	-2,685,000	254,660,000
17	Net assets released from restriction	0	0			
18	Net total revenues, after assets released from restriction	127,793,000	241,748,000	-111,270,000	-2,685,000	

You may use the space below to provide context for the data you've reported above.

Actual net assets released due to reclass for FAS117-1 were
 Unrestricted=\$53,601,000
 Temporarily restricted=\$(43,981,000)
 Permanently restricted=\$(9,620,000)

Part E - Expenses by Functional and Natural Classification

Fiscal Year 2009

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	
Line No.	Expenses by Functional Classification	Total amount	Salaries and wages	Benefits	Operation and maintenance of plant	Depreciation	Interest	All other
01	Instruction	107,549,000	62,019,000	16,137,000	4,563,000	4,156,000	2,129,000	18,545,000
02	Research	5,253,000	1,705,000	264,000	0	0	0	3,284,000
03	Public service	1,696,000	769,000	56,000	0	0	0	871,000
04	Academic support	33,473,000	13,514,000	3,219,000	3,246,000	3,735,000	1,757,000	8,002,000
05	Student services	33,923,000	13,929,000	4,067,000	2,952,000	2,969,000	1,020,000	8,986,000
06	Institutional support	48,701,000	20,179,000	7,173,000	1,562,000	6,412,000	1,242,000	12,133,000
07	Auxiliary enterprises	19,648,000	974,000	375,000	5,810,000	3,019,000	2,578,000	6,892,000
08	Net grant aid to students (net of tuition and fee allowances)	1,700,000						1,700,000
09	Hospital services If this institution is primarily a hospital, please click here							0
10	Independent operations	0	0	0	0	0	0	0
11	Operation and maintenance of plant (see instructions)	0	6,568,000	2,262,000	-18,133,000	1,970,000	265,000	7,068,000
12	Other expenses CV=[E13-(E01+...+E11)]	0	0	0	0	0	0	0
13	Total expenses	251,943,000	119,657,000	33,553,000	0	22,261,000	8,991,000	67,481,000
	Prior year total expenses	233,935,000	115,534,000	32,355,000		18,850,000	6,892,000	60,304,000

You may use the space below to provide context for the data you've reported above.

2009 ACA \$147,000

Part H - Value of Endowment Assets

Fiscal Year 2009

Line No.	Value of <u>Endowment Assets</u>	<u>Market Value</u>	<u>Prior Year Amounts</u>
Include not only endowment assets held by the institution, but any assets held by private foundations affiliated with the institution.			
01	Value of <u>endowment assets</u> at the beginning of the fiscal year	676,072,000	697,881,000
02	Value of <u>endowment assets</u> at the end of the fiscal year	528,892,000	676,072,000

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