

Note: Reported data do not include revisions made through the Prior Year Data Revision System for any institution prior to collection

### Finance 2006-07

Institution: Santa Clara University (122931)

User ID: super1

#### Finance - Private not-for-profit institutions and Public institutions using FASB standards

##### General Information

##### Finance - FASB Institutions

To the extent possible, the finance data requested in this report should be provided from your institution's audited General Purpose Financial Statement (GPFS). Please refer to the instructions specific to each page of the survey for detailed instruction and references.

#### 1. Fiscal Year calendar

**This report covers financial activities for the 12-month fiscal year:** (The fiscal year reported should be the most recent fiscal year ending before October 1, 2007.)

Beginning: month/year (MMYYYY)

Month:

Year:

And ending: month/year (MMYYYY)

Month:

Year:

#### 2. Audit Opinion

**Did your institution receive an unqualified opinion on its General Purpose Financial Statements from your auditor for the fiscal year noted above?** (If your institution is audited only in combination with another entity, answer this question based on the audit of that entity.)

- Unqualified     Qualified (Explain in Caveats below)     Don't know (Explain in Caveats below)

#### 3. Does this institution or any of its foundations or other affiliated organizations own endowment assets ?

Yes (report endowment assets)

No

#### 4. If your institution participates in intercollegiate athletics, are the expenses accounted for as auxiliary enterprises or treated as student services?

Auxiliary enterprises

Student services

Does not participate in intercollegiate athletics

Other (specify in caveats box below)

#### 5. Does your institution account for Pell grants as pass through transactions (a simple payment on the student's account) or as federal grant revenues to the institution?

Pass through (agency)

Federal grants

Does not award Pell grants

Caveats:

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**Part A - Statement of Financial Position, Page 1**

Fiscal Year 2007

Report in whole dollars only

Line No.	Assets, Liabilities, and Net Assets	Total Amount	Prior Year Amounts
<b><u>Assets</u></b>			
01	Long-term investments	833,415,000	699,259,000
02	Total assets	1,350,784,000	1,191,359,000
<b><u>Liabilities</u></b>			
03	Total liabilities	271,911,000	256,581,000
<b><u>Net assets</u></b>			
04	Total unrestricted net assets	558,750,000	467,279,000
05	Total restricted net assets	520,123,000	467,499,000
05a	Permanently restricted net assets included in line 05	222,938,000	
06	Total net assets	1,078,873,000	934,778,000

**Part A - Statement of Financial Position, Page 2**

Fiscal Year 2007

Report in whole dollars only

Line No.	Plant, Property and Equipment	Total Amount End of Year	Prior Year Amounts
11	Land and land improvements	91,088,000	89,107,000
12	Buildings	279,854,000	257,758,000
13	Equipment, including art and library collections	130,328,000	125,237,000
14	Property obtained under capital leases (if not included in equipment)	0	0

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**Part B - Summary of Changes in Net Assets**

(Please complete Part A before providing data for Part B)

Fiscal Year 2007

Report in whole dollars only

Line No.	Revenues, Expenses, Gains and Losses	Total Amount	Prior Year Amounts
01	Total <u>revenues</u> and <u>investment return</u>	361,054,000	333,024,000
02	Total <u>expenses</u> (enter a positive number)	216,959,000	202,454,000
03	<b>Other specific changes in net assets (CV)</b> CV=[B04-(B01-B02)]	0	
04	Change in <u>net assets</u>	144,095,000	130,671,000
05	Net assets, beginning of year	934,778,000	804,107,000
06	<b>Adjustments to beginning of year net assets (CV)</b> CV=[B07-(B04+B05)]	0	0
07	<b>Net assets, end of year (from A06)</b>	1,078,873,000	934,778,000

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**Part C - Student Grants**

Fiscal Year 2007

Report in whole dollars only

Line No.	Student Grants	Total Amount	Prior Year Amounts
01	<u>Pell grants</u>	1,397,000	1,621,000
02	Other <u>federal grants</u>	1,411,000	1,144,000
03	<u>State grants</u>	4,247,000	4,799,000
04	<u>Local grants</u>	0	0
05	<u>Institutional grants (funded)</u>	7,595,000	7,352,000
06	<u>Institutional grants (unfunded)</u>	36,985,000	34,315,000
07	<b>Total student grants (CV)</b> CV=[C01+...+C06]	51,635,000	49,231,000
08	<u>Allowances</u> (scholarships) applied to <u>tuition and fees</u>	44,580,000	41,667,000
09	<u>Allowances</u> (scholarships) applied to <u>auxiliary enterprise revenues</u>	0	0

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**Part D - Revenues and Investment Return**

(Please complete Parts B and C before providing data for Part D)

**Fiscal Year 2007**  
Report in whole dollars only

Line No.	Source of Funds	Total Amount	Prior Year Amounts
01	<u>Tuition and fees</u> (net of allowance reported in Part C, line 08)	167,096,000	157,256,000
<b><u>Government Appropriations</u></b>			
02	Federal appropriations	0	0
03	State appropriations	0	0
04	Local appropriations	0	0
<b><u>Government Grants and Contracts</u></b>			
05	Federal grants and contracts	3,752,000	2,832,000
06	State grants and contracts	68,000	77,000
07	Local grants and contracts	128,000	143,000
<b><u>Private Gifts, Grants and Contracts</u></b>			
08	Private gifts, grants and contracts	57,418,000	69,140,000
09	<u>Contributions from affiliated entities</u>	0	0
<b><u>Other Revenue</u></b>			
10	<u>Investment return</u>	102,530,000	79,818,000
11	<u>Sales and services of educational activities</u>	0	0
12	<u>Sales and services of auxiliary enterprises</u> (net of allowance reported in Part C, line 09)	22,417,000	19,418,000
13	<u>Hospital revenue</u> If this institution is primarily a hospital, please refer to the instructions on hospital revenue for guidance.	0	0
14	<u>Independent operations revenue</u>	0	0
15	<b>Other revenue (CV)</b> CV=[D16-(D01+...+D14)]	7,645,000	
16	<b>Total revenues and investment return (from B01)</b>	361,054,000	333,024,000

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**Part E - Expenses by Functional and Natural Classification**

(Please complete Part B before providing data for Part E)

**Fiscal Year 2007**  
Report in whole dollars only

Line No.	Expenses by Functional Classification	Total amount	Salaries and wages	Benefits	Operation and maintenance of plant	Depreciation	Interest	All other
		(1)	(2)	(3)	(4)	(5)	(6)	(7)
01	Instruction	90,244,000	56,682,000	13,735,000	3,483,000	4,300,000	1,903,000	10,141,000
02	Research	3,206,000	1,079,000	109,000	0	0	0	2,018,000
03	Public service	1,820,000	610,000	55,000	0	0	0	1,155,000
04	Academic support	26,039,000	12,074,000	2,659,000	1,372,000	1,027,000	881,000	8,026,000
05	Student service	32,169,000	12,254,000	3,032,000	2,603,000	2,538,000	1,199,000	10,543,000
06	Institutional support	44,620,000	18,801,000	7,401,000	1,162,000	6,306,000	1,407,000	9,543,000
07	Auxiliary enterprises	17,450,000	952,000	244,000	5,345,000	3,239,000	2,101,000	5,569,000
08	Net grant aid to students (net of tuition and fee allowances) (do not include work study here)	1,411,000						1,411,000
09	Hospital services If this institution is primarily a hospital, please <a href="#">click here</a>	0	0	0	0	0	0	0
10	Independent operations	0	0	0	0	0	0	0
11	Operation and maintenance of plant (see instructions)	0	5,938,000	1,987,000	-13,965,000	323,000	232,000	5,485,000
12	Other expenses (CV) CV=[E13-(E01+...+E11)]	0	0	0	0	0	0	0
13	Total expenses	216,959,000	108,390,000	29,222,000	0	17,733,000	7,723,000	53,891,000
	Prior year total expenses	202,454,000	100,612,000	28,002,000		16,739,000	6,998,000	

**Part H - Value of Endowment Assets**

Fiscal Year 2007

Report in whole dollars only

Line No.	Value of <u>Endowment Assets</u>	Market Value	Prior Year Amounts
Include not only endowment assets held by the institution, but any assets held by private foundations affiliated with the institution.			
01	Value of <u>endowment assets</u> at the beginning of the fiscal year	598,657,000	509,149,000
02	Value of <u>endowment assets</u> at the end of the fiscal year	697,881,000	598,657,000

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